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|--|-------------------------|---------------------|--|---------------------------|----------------------------------|--------------------|--------------------------------|------------------|----------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |                         |                     |  | Application Number        |                                  | 10/057,364         |                                |                  |                |
|  |                         |                     |  | Filing Date               |                                  | January 24, 2002   |                                |                  |                |
|  |                         |                     |  | First Named Inventor      |                                  | Tomoya YOSHIDA     |                                |                  |                |
|  |                         |                     |  | Group Art Unit            |                                  | 2154               |                                |                  |                |
|  |                         |                     |  | Examiner Name             |                                  | JOSHUA JOO         |                                |                  |                |
| Sheet  | 1                       | of                  | 2  | Attorney Docket Number    |                                  | 02036/LH           |                                |                  |                |
| <b>U.S. PATENT DOCUMENTS</b>                             |                         |                     |  |                           |                                  |                    |                                |                  |                |
| Exam.<br>Inits*  | Cite<br>No <sup>1</sup> | Document Number     |  | Kind<br>Code <sup>2</sup> | Name of Patentee or Applicant    |                    | Publication Date<br>MM-DD-YYYY | Relevant Portion |                |
|  |                         |                     |  |                           |                                  |                    |                                |                  |                |
| <b>FOREIGN PATENT DOCUMENTS</b>                          |                         |                     |  |                           |                                  |                    |                                |                  |                |
| Exam<br>Inits <sup>1</sup>                               | Cite<br>No <sup>1</sup> | Office <sup>3</sup> | Document Number <sup>4</sup>                 | Kind<br>Code <sup>5</sup> | Name of Patentee or<br>Applicant |                    | Publication Date<br>MM-DD-YYYY | Relevant Portion | T <sup>6</sup> |
|  |                         | JP                  | 2000-177219                                  | A                         | FUJI XEROX CO<br>LTD.            |                    | 06-27-2000                     |                  | *              |
|  |                         | JP                  | 2000-148538                                  | A                         | NTT DATA CORP                    |                    | 05-30-2000                     |                  | *              |
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|  |                         |                     |  |                           |                                  |                    |                                |                  |                |
|  |                         |                     | *English<br>Language<br>Abstract<br>Attached |                           |                                  |                    |                                |                  |                |
| Examiner<br>Signature                                    |                         |                     |  |                           |                                  | Date<br>Considered |                                |                  |                |

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Mark here if English translation is attached.

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|  |                         |   |   | Examiner Name          | JOSHUA JOO       |
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| <b>NON PATENT LITERATURE DOCUMENTS</b>                   |                         |   |   |                        |                  |
| Exam<br>Inits <sup>1</sup>                               | Cite<br>No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |   |                        | T <sup>6</sup>   |
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|  |                         | Ryuichi Toriyama, "System security and operation management" Nikkei Open System, Nikkei BP Company, December 15, 1997, Vol. 57, pages 326-332.  |   |                        |                  |
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| Examiner<br>Signature                                    |                         |   |   | Date<br>Considered     |                  |

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